

VALLEY HEAD HOUSING AUTHORITY

HUD-50075

PHA PLAN

5-Year Plan for Fiscal Years 2011 - 2015
Annual Plan for Fiscal Year 2011

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

<u>Table of Contents</u>	<u>Page #</u>
Annual Plan	1
Executive Summary	3
Policies on Eligibility, Selection and Admissions	4
Financial Resources	4
Rent Determination Policies	4
Operations and Management Policies	4
Grievance Procedures	5
Designated Housing for Elderly and Disabled Families	5
Community Service and Self-Sufficiency	5
Safety and Crime Preventions	5
Pet Policy	6
Certifications of Compliance with PHA Plans & Related Regulations	9
Fiscal Year Audit	11
Asset Management	11
Violence Against Women Act	11
Housing Needs of Families in the Jurisdiction	12
Housing Needs of Families on Public Housing Waiting List	13
Progress in Meeting Mission and Goals	15
Civil Rights Certification	16
Certification of Drug Free Workplace	17
Certification of Payments to Influence Federal Transactions	18
Disclosure of Lobbying Activities	19
Resident Advisory Board or Boards	20
Resident Member on the PHA Board	20
Challenged Elements	20
2011 Capital Fund Annual Budget	21
Capital Fund 5 Year Work Statements Summary	27
Capital Fund 5 Year Physical Needs Work Statements	29
Capital Fund 5 Year Management Needs Work Statements	31
Certification by State of Alabama	

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
-----------------------------------	---	--

1.0	PHA Information PHA Name: <u>Valley Head Housing Authority</u> PHA Code: <u>AL135</u> PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>4/01/2011</u>					
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>8</u> Number of HCV units: _____					
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
	PHA 1:				PH	HCV
	PHA 2:					
	PHA 3:					
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.					
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination. To provide clean, safe and affordable housing for the low income.					
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. The primary goal of the Authority is to please our residents with more site and dwelling unit improvements. The next year's plan is to remove the old rubber baseboards where roaches will breed and replace them with new wood baseboards to make them more modern. We have focused on a more attractive curb appeal, safety and convenience for our residents. We will maintain our status of a High Performer. By accomplishing these goals we will ensure Equal Opportunity in housing, promote family self-sufficiency and increase customer satisfaction.					

PHA Plan Update

- (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

There have been no revisions or deviation in the prior year's Annual Plan nor 5-Year Plan.

- (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Copies of the 5-Year PHA Plan and Annual Plan may be viewed at the main administrative office, located at 203 13th Street NW, Fort Payne, Alabama.

1. PHA POLICIES GOVERNING ELIGIBILITY, SELECTION, AND ADMISSIONS

The PHA verifies eligibility for admission to public housing at the time of the initial application. In screening applicants, the PHA establishes eligibility for admission, by requesting criminal records from local law enforcement agencies and/or accessing FBI criminal records through a NCIC authorized agency. The PHA has former Federal preferences that apply to application screening. These preferences include Homelessness, Substandard Housing, Victims of Domestic Violence and Involuntary Displacement. In order to receive this type of preferences, the actual reason for the preference has to be verified and certified by another local agency. A qualified applicant is given one choice of a vacant unit before being put on the bottom of the waiting list. The waiting list for public housing is one community wide list. The PHA did not adopt any changes to its admissions policies due to the results of the required analysis of the need to promote deconcentration of poverty and/or to assure income mixing. No developments showed an average income above or below 85% to 115% difference, so therefore no changes were needed, however a Deconcentration Policy was adopted.

2. FINANCIAL RESOURCES:

*(These financial resources are anticipated to be available to the PHA)

FEDERAL GRANTS: Public Housing Operating Fund	\$19,659.
Public Housing Capital Fund	\$13,510.

PUBLIC HOUSING INCOME:

Dwelling Rents	\$ 6,544.
Investment Income	\$ 359.
Late Fees & Other Charges	\$ 195.

TOTAL RESOURCES	\$40,267.
------------------------	------------------

3. RENT DETERMINATION:

The PHA employs discretionary policies for determining income based rent. The PHA has a base minimum rent of \$50.00. Rents are not charged for a percentage less than 30% of adjusted income. However, the PHA does have ceiling rents, which have been arrived and set at the fair market rents. The PHA used the section 8 rent reasonableness study of comparable housing to set the market-based flat rents. Between income reexaminations, a family who experiences and income increase or decrease must report the change of income or family composition to the PHA. At this time the PHA will make an adjustment to the rent. The PHA has adopted a minimum rent hardship exemption policy.

4. OPERATION AND MANAGEMENT:

The PHA has several manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of the public housing agency. All policies and procedures are followed by each employee of the agency. Agency policies and handbooks are listed below:

Personnel Policy, Procurement Policy, Admissions & Continued Occupancy Policy, Lease & Grievance Policy, Pet Policy, Pest Control Policy, Trash & Litter Policy, Accounts Payable Policy, Rent Collection Policy.

5. GRIEVANCE PROCEDURES:

The PHA has not established any written grievance procedure in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing. A resident or applicant must contact the PHA main office, at 203 13th Street N.W., Fort Payne, Alabama to initiate any PHA grievance process. Upon filing of a written request, a Tenant shall be entitled to a hearing before a hearing officer. (For additional information, See PHA's Grievance Procedures Policy.)

6. DESIGNATED HOUSING FOR ELDERLY AND DISABLED FAMILIES:

The PHA owns and operates an eight unit apartment complex. Within this complex, we have (2) 1 bedroom apartments, which are considered to be for elderly residents. With the 2009 Stimulus Grant funds we were able to put Handicap Accessible walk in showers into these 2 units to better serve the needs of the Elderly and Disabled.

7. COMMUNITY SERVICE AND SELF-SUFFICIENCY:

The PHA at this time does not provide any programs to enhance the economic and social self-sufficiency of residents. The PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services, as contemplated by section 12 (d) (7) of the Housing Act of 1937. With this agreement, the PHA complies with the requirements of community service and treatment of income changes resulting from welfare program. The agreement between the PHA and TANF was signed on October 30, 2000. Other coordination efforts between the PHA and TANF agency is to provide information sharing mutual clients, for rent determinations and family size of residents.

8. SAFETY AND CRIME PREVENTIONS:

The PHA takes precautions to insure the public housing residents are safe. We work very closely with our local Police and Sheriff's department. Our Police Department has been informed of the strict rules and regulations concerning "Criminal Activity" on or off Housing Authority property. If police reports show Criminal Activity or Drug related criminal activity, residents are evicted. When arrest for this type of activity, the Housing Authority "Bans" persons from Housing Authority property. An updated copy of the Ban from Property List is given to the Police Department each month.

9. PETS:

PET POLICY

Valley Head Housing Authority (HA)

Section I.

A. Pet ownership: A tenant may own one or more common household pets or have one or more common household pets present in the dwelling unit of such tenant, subject to the following conditions:

1. Each Head of Household may own up to two pets. If one of the pets is a dog or cat, (or other four legged animal), the second pet must be contained in a cage or an aquarium for fish. Each bird or other animals, other than fish, shall be counted as one pet.
2. If the pet is a dog or cat, it must be neutered/spayed by the age of six (6) months, and cats must be de-clawed by the age of three (3) months. The evidence can be provided by a statement/bill from a veterinarian and/or staff of the humane society. The evidence must be provided prior to the execution of this agreement and/or within 10 days of the pet becoming of the age to be neutered/spayed or de-clawed. Tenant must provide waterproof and leak proof letter boxes for cat waste, which must be kept inside the dwelling unit. Cardboard boxes are not acceptable and will not be approved. The Tenant shall not permit refuse from letter boxes to accumulate nor to become unsightly or unsanitary. Also, the weight of a cat cannot exceed 10 pounds (fully grown) and a dog may not exceed 20 pounds in weight (fully grown). All other four legged animals are limited to 10 pounds (fully grown).
3. If the pet is a bird, it shall be housed in a birdcage and cannot be let out of the cage at any time.
4. If the pet is a fish, the aquarium must be twenty gallons or less, and the container must be placed in a safe location in the unit. The Tenant is limited to one container for the fish; however, there is no limit on the number of fish that can be maintained in the container as long as the container is maintained in a safe and non-hazardous manner.
5. If the pet is a cat or dog, it must have received rabies and distemper inoculations or boosters, as applicable. Evidence of inoculations can be provided by a statement/bill from veterinarian or staff of the humane society and must be provided before the execution of this agreement.
6. All pets must be housed within the unit and no facilities can be constructed outside of the unit for any pet. No animal shall be permitted to be loose and if the pet is taken outside it must be taken outside on a leash and kept off other Tenant's lawns. Also, all pets must wear collars with identification at all times. Pets without a collar will be picked-up immediately and transported to the Humane Society or other appropriate facility.
7. All authorized pet(s) must be under the control of an adult. An unleashed pet tied to a fixed object, is not considered to be under the control of an adult. Pets which are unleashed, or leashed and unattended, on HA property may be impounded and taken to the local Humane Society. It shall be the responsibility of the Tenant to reclaim the pet at the expense of the Tenant. Also, if a member of the HA staff has to take a pet to the Humane Society the Tenant will be charged \$50 to cover the expense of taking the pet(s) to the Humane Society.
8. Pet(s) may not be left unattended for more than twenty-four consecutive hours. If it is reported to HA staff that a pet(s) has been left unattended for more than Twenty-four (24) consecutive hour period, HA staff may enter the unit and remove the pet and transfer the pet to the Humane Society. Any expense to remove and reclaim the pet from any facility will be the responsibility of the tenant. In the case of an emergency, the HA will work with the resident to allow more than 24 hours for the resident to make accommodations for the pet.
9. Pet(s), as applicable, must be weighed by a veterinarian or staff of the Humane Society. A statement containing the weight of the pet must be provided to the HA prior to the execution of this agreement and upon request by the HA.

NOTE:

Any pet that is not fully grown will be weighed every six months. Also, any pet that exceeds the weight limit at any time during occupancy will not be an Eligible pet and must be removed from HA property.

- B. **Responsible Pet Ownership:** Each pet must be maintained responsibly and in accordance with this pet ownership lease addendum and in accordance with all applicable ordinances, state and local public health, animal control, and animal anti-cruelty laws and regulations governing pet ownership. Any waste generated by a pet must be properly and promptly disposed of by the tenant to avoid any unpleasant and unsanitary odor from being in the unit.
- C. **Prohibited Animals:** Animals or breeds if animals that are considered by the HA to be vicious and/or intimidating will not be allowed. Some examples of animals that have a Reputations of a vicious nature are: reptiles, Rottweiler, Doberman pinscher, chows, pit bulldog, and/or any animal that displays vicious behavior. This determination will be made by a HA representative prior to the execution of this lease addendum.
- D. Pet(s) shall not disturb, interfere or diminish the peaceful enjoyment of other tenants. The terms, “disturb, interfere or diminish” shall include but not be Limited to barking, howling, chirping, biting, scratching and other like activities. This includes any pets who make noise continuously and/or incessantly for a period of 10 minutes or intermittently for one-half hour or more and therefore disturbs any person at any time of the day or night. The Housing Manager will terminate this authorization if a pet disturbs other tenants under this section of the lease addendum. The Tenant will be given one week to make other arrangements for the care of the pet or the dwelling lease will be terminated.
- E. If the animal should become destructive, create a nuisance, represent a threat to the safety and security of other persons, or create a problem in the area of cleanliness and sanitation, the Housing Manager will notify the tenant, in writing, that the animal must be removed from the Public Housing Development, within 10 days of the date of the notice from the HA. The Tenant may request a hearing, which will be handled according to the HA’s established grievance procedure. The pet may remain with the tenant during the hearing process unless the HA has determined that the pet may be a danger or threat to the safety and security of other persons. If this determination has been made by the HA, the pet must be immediately removed from the unit upon receipt of the notice from the HA.
- F. The Tenant is solely responsible for cleaning up the waste of the pet within the dwelling and on the premises of the public housing development. If the pet is taken outside it must be on a leash at all times. If there is any visible waste by the pet it must be disposed of in a plastic bag, securely tied and placed in the garbage receptacle for their unit. If the HA staff is required to clean any waste left by a pet, the Tenant will be charged \$25 for the removal of the waste.
- G. The Tenant shall have pets restrained so that maintenance can be performed in the apartment. The Tenant shall, whenever an inspection or maintenance can be scheduled, either be a home or shall have all animals restrained or caged. If a maintenance person enters an apartment where an animal is not restrained, maintenance shall not be performed, and the Tenant shall be charged a fee of \$25.00. If this same situation again occurs, the pet shall be removed from the premises. Pets that are not caged or properly restrained may be impounded by animal control officers or by HA staff and taken to the local Humane Society. It shall be the responsibility of the Tenant to reclaim the pet at the expense of the Tenant. Also, if a member of the HA staff takes a pet to the Humane Society the Tenant will be charged an additional \$50 to cover the expense of taking the pet(s) to the Humane Society. The Housing Authority shall not be responsible if any animal escapes from the residence due to maintenance, inspections or other activities of the landlord.
- F. Pets may not be bred or used for any commercial purposes.

Section II: SCHEDULE OF ANNUAL FEES AND INITIAL DEPOSIT FEE AND DEPOSIT SCHEDULE

(An Annual Fee and Deposit is required for each pet)

Type of Pet	Fee	Deposit
Dog	\$150	\$250
Cat	\$100	\$150
Fish Aquarium	\$ 50	\$100
Fish Bowl (Requires no power and no larger than two gallon)	0	\$ 25
Caged Pets	\$100	\$150

Note: Under 24 CFR 5.63-380 (subpart C) Elderly Residents DO NOT have to pay the non-refundable annual fees.

Note: The above schedule is applicable for each pet; therefore, if a tenant has more than one pet he or she must pay the applicable annual fee and deposit for each pet.

The entire annual fee and deposit (subject to the exception listed below) must be paid prior to the execution of the lease addendum. No pet shall be allowed in the unit prior to the completion of the terms of this pet policy. The annual fee shall be paid at the time of reexamination each year and all proof of inoculations and other requirements shall be made available to the HA at such time. The Annual Fee is not reimbursable. The deposit made shall be utilized to offset damages caused by the pet and/or tenant. Any balance, if any, from the deposit will be refunded to the tenant. THERE SHALL BE NO REFUND OF THE ANNUAL FEE.

It shall be a serious violation of the lease for any tenant to have a pet without proper approval and without having complied with the terms of this policy. Such violation shall be considered to be a violation of Paragraph IV (L) of the lease (a serious violation) and the HA will issue a termination notice. The tenant will be entitled to a grievance hearing in accordance with the provisions of Paragraph 5 of this Pet Policy or the Grievance Procedure, as applicable.

10. CIVIL RIGHTS CERTIFICATIONS

PHA Certifications of Compliance with PHA Plans and Related Regulations

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Revised 4/30/2011

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official, if there is no Board of Commissioners, I approve the submission of the PHA 5-Year and Annual PHA Plan for the PHA fiscal year beginning 8/1/11. I have read and approved the Plan, of which this document is a part and state the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

- The Plan is consistent with the applicable comprehensive housing plan (or policy change for any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
- The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Comprehensive Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Comprehensive Plan.
- The PHA and the HUD have had no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program Replacement Housing Facts & Annual Statements), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement for Capital Fund Evaluation Report must be submitted annually even if there is no change.
- The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 983.131). The PHA has included in the Plan submission a copy of the minutes/minutes endorsed by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
- The PHA made the proposed Plan available to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held as mandated when trying to discuss the Plan and invited public comment.
- The PHA certifies that it has met the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and Title II of the Americans with Disabilities Act of 1990.
- The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing exercise within these programs, take steps, if necessary, to eliminate any impediments in view of the resources available and available jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing, including the PHA's involvement and financial resources, including those analyses and actions.
- The PHA Plan includes a policy for site-based waiting lists:
 - The PHA regularly submits required data to HUD's 50086 PCCHAS Module in an accurate, complete and timely manner (as specified in PHA Notice 3006-301).
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites and a statement of the particular from the applicant, would likely have to wait to be admitted to units of different sizes and types in each site.
 - Exception of site-based waiting list would not violate any law or contract or agreement or be inconsistent with a pending complaint brought by HUD.
 - The PHA shall take reasonable measures to ensure that each waiting list is consistent with affirmatively furthering fair housing.
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and regulations, as specified in 24 CFR part 983.7(a)(7)(i).
- The PHA will comply with the prohibition against discrimination on the basis of race pursuant to the Age Discrimination Act of 1975.
- The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
- The PHA will comply with the requirements of section 2 of the Housing and Urban Development Act of 1968, Employment Opportunity and Unemployment Insurance Act, and with implementing regulation at 24 CFR Part 105.


12. The PHA will comply with acquisition and contracting requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will use appropriate affirmative action to avoid contracts or minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HCT any documentation that the responsible entity or HCT needs to carry out its responsibilities under the National Transportation Policy Act and other related authorities in accordance with 24 CFR Part 54 or Part 56, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 55.21 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Lead-based Renovation Act of 1990, and 24 CFR Part 36.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. 4-87 (Cost Principles for State, Local, and Indian Tribal Governments), 24 CFR Part 225, and 24 CFR Part 35 (Administrative Requirements for Grants and Cooperative Agreements to State, Local, and Tribal Governments Interagency Indian Trust Government).
19. The PHA will undertake only activities and programs covered by the Plan in accordance with its Plan and will utilize covered grant funds only for activities that are allowable under the regulations and included in the Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all sections of the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements of the primary business plan of the PHA and at all other times and occasions required by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides as a means part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the contents of the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors for similar governing bodies; and
 - (iii) The revised policies and programs are available for review and inspection at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

VALLEY HAVEN HOUSING AUTHORITY
PHA Name

AL111
PHA Number/LIA Code

- X 5-Year PHA Plan for Fiscal Years 20 11 - 20 11
- X Annual PHA Plan for Fiscal Years 20 11 - 20 11

Having read and understood the foregoing, I, the undersigned, declare that the information provided herein is true and correct. Witness my hand and the seal of the Valley Haven Housing Authority this 14th day of December, 2010.

For: (Print last name)	TH
Thomas A. Gifford	Board Chairman
By:	DR
	December 14, 2010

Revised and distributed

Page 2 of 2

U.S. HUD-9007 (0-990)

11. FISCAL YEAR AUDIT:

The most recent audit of the Valley Head Housing Authority can be viewed at the main office, 203 13th Street NW, Fort Payne, AL 35967. Because the authority is so small, the Authority is not required to have an annual audit; however, we did take bids for an audit to be performed. The audit was performed by Yeager & Boyd, L.L.C. of Birmingham, Alabama. The audit was performed in accordance with Government Auditing Standards issued by the Comptroller General of the United States of America.

12. ASSET MANAGEMENT:

The PHA does not plan on engaging in any activities that will contribute to a long-term asset management of its public housing stock. The Agency will not engage in any long-term plans for operation, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan.

13. VIOLENCE AGAINST WOMEN ACT (VAWA)

The PHA works with several local agencies to help provide housing for individuals who are victims of VAWA Act. These agencies consist of temporary shelters, Department of Human Resources, and our local police department. Clients, who are victims of domestic violence, dating violence, sexual assault, or stalking, are being referred by these agencies to the Housing Authority for their housing needs. Once the client meets all necessary screening requirements for admission, they are considered a high preference on our waiting list. The PHA takes measures to protect other residents, who become victims of VAWA Act, while living in our apartments. Any individual showing proof of this act, such as a police report and/or other certification; The PHA will take action to help prevent any other violence which may occur. If the individual, who performed the act, is living in the household, that individual will be evicted. If the individual was an outsider, and the crime was on Housing Authority property, the individual will be "Banned" from Housing Authority property. Any persons banned from the property is reported to the local police department, and charged, if on or near the property for Trespassing.

7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>The PHA has not received a HOPE VI revitalization grant and it does not plan to conduct any demolition or disposition activities in the plan Fiscal Year. No PHA developments or portions of developments have been identified by HUD or the PHA for Conversion of Public Housing to Tenant-Based Assistance. The PHA does not administer any homeownership programs, nor does it have any Project-based Vouchers.</p>																																																								
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. N/A</p>																																																								
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>See Attached</p>																																																								
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>5-Year Action Plan Attached</p>																																																								
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>N/A</p>																																																								
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <table border="1" data-bbox="180 1121 1372 1575"> <thead> <tr> <th>Family Type</th><th>Overall</th><th>Afford-ability</th><th>Supply</th><th>Quality</th><th>Accessi-bility</th><th>Size</th><th>Location</th></tr> </thead> <tbody> <tr> <td>Income <= 30% of AMI</td><td>15</td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr> <td>Income >30% but <=50% of AMI</td><td>39</td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr> <td>Income >50% but <80% of AMI</td><td>53</td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr> <td>Elderly</td><td>101</td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr> <td>Families with Disabilities</td><td>127</td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr> <td>Race/Ethnicity</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> </tbody> </table> <p>The PHA's source of information was taken from the 2000 U.S. Census Bureau for its Jurisdiction.</p>	Family Type	Overall	Afford-ability	Supply	Quality	Accessi-bility	Size	Location	Income <= 30% of AMI	15							Income >30% but <=50% of AMI	39							Income >50% but <80% of AMI	53							Elderly	101							Families with Disabilities	127							Race/Ethnicity							
Family Type	Overall	Afford-ability	Supply	Quality	Accessi-bility	Size	Location																																																		
Income <= 30% of AMI	15																																																								
Income >30% but <=50% of AMI	39																																																								
Income >50% but <80% of AMI	53																																																								
Elderly	101																																																								
Families with Disabilities	127																																																								
Race/Ethnicity																																																									

**Housing Needs of Families on the
Public Housing Waiting List**

Waiting list type: (select one)

- ☐ Section 8 tenant-based assistance
X Public Housing
☐ Combined Section 8 and Public Housing
☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	6		1
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children	2		
Elderly families	2		
Families with Disabilities	2		
Race/ethnicity			
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	4		
2 BR	1		
3 BR			
4 BR	1		
5 BR			
5+ BR			

Is the waiting list closed (select one)? X No ☐ Yes

If yes:

How long has it been closed (# of months)? N/A

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

10.	<p>Additional Information: Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p>
11.0	<p>The primary goal of the Valley Head Housing Authority in its five year plan is to modernize our apartments to be more comparable and competitive with other apartment complexes located in the surrounding areas. Our Authority is striving to please our residents with more sites and dwelling unit improvements. With the 2009 American Recovery and Reinvestment Act Grant the Authority was able to install new bathtubs and tub surrounds along with new fixtures in each apartment. In addition walk in showers were installed in the handicap apartments to better serve the needs of the elderly and disabled. We have focused on a more attractive curb appeal, safety and convenience for our residents and are achieving these goals. With the 2009 Capital Fund money we used in "operations" and had all trees trimmed and or problem trees removed.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>Definition of Substantial Deviation and Significant Amendment It is the intent of the Fort Payne Housing Authority to adhere to the mission, goals and objectives outlined in the five-year strategic plan. The plan, however, will be modified and re-submitted to HUD should a substantial deviation from program goals and objectives occur. The Housing Authority defines substantial deviations as: Any change in the planned or actual use of federal funds for activities that would prohibit or redirect the Housing Authority's strategic goals of increasing the availability of decent, safe and affordable housing for the citizens of the City of Fort Payne, Alabama. Any single or cumulative annual change in the planned or actual use of federal funds as identified in the five-year plan that exceeds 20% of the PHA's annual program budgets for Section 8 or Public Housing activities. A need to respond immediately to Acts of God beyond the control of the Housing Authority, such as earthquakes, civil unrest, or other unforeseen significant event. A mandate from local government officials, specifically the governing board of the Housing Authority, to modify, revises, or deletes the long-range goals and objectives of the program. A substantial deviation does not include any changes in HUD rules and regulations, which require or prohibit changes to activities listed herein. A Significant Amendment of Modification to the Annual Plan and five-year Plans is defined as: Changes of a significant nature to the rent or admissions policies, or the organization of the waiting list not required by federal regulatory requirements as to effect a change in the Section 8 Administrative Plan or the Public Housing Admissions and Continued Occupancy Policy (ACOP). A change in the planned or use of replacement reserve funds under the Capital Fund that exceeds 20% of the PHA's annual budget.</p> <p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

(A) HUD-50077, PHA Certifications of Compliance

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 12/30/2011

Civil Rights Certification

Annual Certification and Board Resolution

I, being an officer of the Board of Commissioners of the Public Housing Agency (PHA), hereby certify to the Department of Housing and Urban Development that I am duly authorized PHA officer to take this Board of Commissioners' Resolution and submit it to the Department of Housing and Urban Development for review and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and Implementation Manual.

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Valley Head Housing Authority

ALA 135

PHA Name

PHA Number/PHA Code

This document is a legal instrument and must be signed by the authorized PHA official. It must be signed and dated within 180 days of the date of issuance of the HUD-50077 form. It must be signed by the PHA official who is authorized to sign the HUD-50077 form.

Signature of PHA Official

Thomas A. Gifford, III

Board Chairman

DATE

12/14/2010

Form HUD-50077-CR (1/2000)
OMB Approval No. 2577-0028

(B) Hud 50070 – Certification for a Drug Free Workplace

DISCLOSURE OF LOBBYING ACTIVITIES			Approved by OHS 7/10/00
Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public law disclosure.)			
1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offering period <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: Year: _____ Quarter: _____ Date of last report: _____	
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subwardsee or _____ if known: VALLEY TRAIL HOUSING AUTHORITY 303 13th Street S.W. Fort Payne, Alabama 35967 Congressional District, if known: 4		5. If Reporting Entity in No. 4 is a Subwardsee, Enter Name and Address of Prime: VALLEY TRAIL HOUSING AUTHORITY 303 13th Street S.W. FORT PAYNE, ALABAMA 35967 Congressional District, if known: 4	
6. Federal Department/Agency: DEPARTMENT OF HOUSING & URBAN DEVELOPMENT		7. Federal Program Name/Description: CFLD Number, if applicable: 4-572	
8. Federal Action Number, if known: ALC9P135901		9. Award Amount, if known: \$ 13,500	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):		b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. I hereby agree to report to the Department of Housing and Urban Development all lobbying activities performed by me or my firm on behalf of the reporting entity in connection with the federal action described in this form. I understand that the Department of Housing and Urban Development may require disclosure of lobbying activities performed by me or my firm on behalf of the reporting entity in connection with the federal action described in this form. I understand that the Department of Housing and Urban Development may require disclosure of lobbying activities performed by me or my firm on behalf of the reporting entity in connection with the federal action described in this form.		Signed by: <u>James E. Duvall</u> Print Name: James E. Duvall Title: Executive Director Telephone No.: 256-843-4434 Date: 12/12/00	
Federal Use Only:		Attachment for Local Public Notice Standard Form 287-100-7-07	

(C) HUD 50071 – Certification of Payments to Influence Federal Transactions

OWE Approval No. 2677-0167 (Exp. 3/31/2010)

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Waller Head Housing Authority

Federal Agency/Receiving Federal Loan Funding

Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, or any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal loan, the entering into of any cooperative agreement, and the extending, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form 278, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this section be included in the award contract or other documents for all new financing, subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements, and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for entering into this transaction covered by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that the information stated herein is true to my knowledge and belief in the circumstances herewith, at this date and location.

Warning: If this information is false and you submit it, you may be subject to criminal sanctions (penalties).

Name of Authorized Signatory

Pamela E. Darwan

Title

Executive Director

Signature



Date (MM/DD/YYYY)

12/4/2010

Please mail this to: HHS-101

Form HUD-50071 (2008)
off. 1-800-847-7417 24hr. 1-800-847-7417

(D & E) Form SF-LLL, Disclosure of Lobbying Activities

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Repealed by OMB
02/04/04

(See reverse for public hearing disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. cost guarantee <input type="checkbox"/> f. cost insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer solicitation <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____ NAME: LEAD ECONOMIC AUTHORITY 230 13th Street N.W. Room 7000, Atlanta 30363 Congressional District, if known: _____	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: NAME: LEAD ECONOMIC AUTHORITY 230 13th Street N.W. Room 7000, Atlanta 30363 Congressional District, if known: 4	
6. Federal Department/Agency: DEPARTMENT OF HOUSING & URBAN DEVELOPMENT	7. Federal Program Name/Description: CFDA Number, if applicable: 14.612	
8. Federal Action Number, if known: AL09PL00011	9. Award Amount, if known: \$ 15,310	
10. a. Name and Address of Lobbying Registrant (If individual, last name, first name, MI)	b. Individuals Performing Services (Indicate address if different from No. 10a) Last name, first name, MI:	
11. Information on the registrant's relationship with the federal government: (a) If the registrant is a federal employee, indicate the agency and position. (b) If the registrant is a former federal employee, indicate the agency and position. (c) If the registrant is a contractor, indicate the agency and position. (d) If the registrant is a consultant, indicate the agency and position. (e) If the registrant is a lobbyist, indicate the agency and position. (f) If the registrant is a public official, indicate the agency and position. (g) If the registrant is a member of Congress, indicate the district and position. (h) If the registrant is a member of a state legislature, indicate the district and position. (i) If the registrant is a member of a local government, indicate the position. (j) If the registrant is a member of a foreign government, indicate the position. (k) If the registrant is a member of a religious organization, indicate the position. (l) If the registrant is a member of a labor union, indicate the position. (m) If the registrant is a member of a professional association, indicate the position. (n) If the registrant is a member of a trade association, indicate the position. (o) If the registrant is a member of a business association, indicate the position. (p) If the registrant is a member of a political party, indicate the position. (q) If the registrant is a member of a political committee, indicate the position. (r) If the registrant is a member of a political action committee, indicate the position. (s) If the registrant is a member of a political party committee, indicate the position. (t) If the registrant is a member of a political party committee, indicate the position. (u) If the registrant is a member of a political party committee, indicate the position. (v) If the registrant is a member of a political party committee, indicate the position. (w) If the registrant is a member of a political party committee, indicate the position. (x) If the registrant is a member of a political party committee, indicate the position. (y) If the registrant is a member of a political party committee, indicate the position. (z) If the registrant is a member of a political party committee, indicate the position.	Signature: <u><i>Barbara C. Green</i></u> Print Name: Barbara C. Green Title: Executive Director Telephone No.: (202) 705-3421 Date: 11-17-00	
Federal Use Only:		Submitted for First Report: <input type="checkbox"/> on Second Report: <input type="checkbox"/> on

(F) Resident Advisory Board

Resident Advisory Board or Boards

The following is a list of Resident Advisory Board Members:

Jeanette Carter
Gernard McCollum
James Haney
David Lee Smith
Preston Hendrix

Resident Member on the PHA Board

The PHA governing board does include one member who is directly assisted by the PHA this year. The name of the member is Beverly Strange. The appointing official for the governing board is the Mayor of Fort Payne, Bill Jordan. Ms. Strange was appointed by the mayor on 9-30-2010 for a four year term.

(G) Challenged Elements

Challenged Elements

There was no element of the PHA Plan that was challenged. We also received no other comments to the plan by the residents or resident Advisory Board.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
CAPITAL FUND FINANCING PROGRAM

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF PUBLIC AND INDIAN HOUSING
OMB NO. 2577-0226
EXPIRES 4/30/2011

PART I: SUMMARY					
PHA NAME: VALLEY HEAD HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P13550111 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP: 2011			FFY OF GRANT: 2011 FFY OF GRANT APPROVAL:
TYPE OF GRANT <input checked="" type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO: PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
1	TOTAL NON-CFP FUNDS				
2	1406 OPERATIONS (MAY NOT EXCEED 20% OF LINE 21) ³	13,385.	13,385.	0.00	0.00
3	1408 MANAGEMENT IMPROVEMENTS				
4	1410 ADMINISTRATION (MAY NOT EXCEED 10% OF LINE 21)				
5	1411 AUDIT				
6	1415 LIQUIDATED DAMAGES				
7	1430 FEES AND COSTS				
8	1440 SITE ACQUISITION				
9	1450 SITE IMPROVEMENT				
10	1460 DWELLING STRUCTURES				
11	1465.1 DWELLING EQUIPMENT—NONEXPENDABLE				
12	1470 NON-DWELLING STRUCTURES				
13	1475 NON-DWELLING EQUIPMENT				
14	1485 DEMOLITION				
15	1492 MOVING TO WORK DEMONSTRATION				
16	1495.1 RELOCATION COSTS				
17	1499 DEVELOPMENT ACTIVITIES ⁴				

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.

⁴ RHF FUNDS SHALL BE INCLUDED HERE.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
CAPITAL FUND FINANCING PROGRAM

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF PUBLIC AND INDIAN HOUSING
OMB NO. 2577-0226
EXPIRES 4/30/2011

PART I: SUMMARY					
PHA NAME: VALLEY HEAD HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P13550111 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP: 2011		FFY OF GRANT:2011 FFY OF GRANT APPROVAL: 2011	
TYPE OF GRANT <input type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO:) <input type="checkbox"/> PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: 11-30-2010 <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
18A	1501 COLLATERALIZATION OR DEBT SERVICE PAID BY THE PHA				
18BA	9000 COLLATERALIZATION OR DEBT SERVICE PAID VIA SYSTEM OF DIRECT PAYMENT				
19	1502 CONTINGENCY (MAY NOT EXCEED 8% OF LINE 20)				
20	AMOUNT OF ANNUAL GRANT:: (SUM OF LINES 2 - 19)	13,385	13,385.	0.00	0.00
21	AMOUNT OF LINE 20 RELATED TO LBP ACTIVITIES				
22	AMOUNT OF LINE 20 RELATED TO SECTION 504 ACTIVITIES				
23	AMOUNT OF LINE 20 RELATED TO SECURITY - SOFT COSTS				
24	AMOUNT OF LINE 20 RELATED TO SECURITY - HARD COSTS				
25	AMOUNT OF LINE 20 RELATED TO ENERGY CONSERVATION MEASURES				
SIGNATURE OF EXECUTIVE DIRECTOR		DATE 12/14/2010	SIGNATURE OF PUBLIC HOUSING DIRECTOR		DATE

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.

⁴ RHF FUNDS SHALL BE INCLUDED HERE.

**ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
CAPITAL FUND FINANCING PROGRAM**

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF PUBLIC AND INDIAN HOUSING
OMB NO. 2577-0226
EXPIRES 4/30/2011

PART II: SUPPORTING PAGES

[illegible]

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

**ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
CAPITAL FUND FINANCING PROGRAM**

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF PUBLIC AND INDIAN HOUSING
OMB NO. 2577-0226
EXPIRES 4/30/2011

[illegible]

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

**ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
CAPITAL FUND FINANCING PROGRAM**

**U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF PUBLIC AND INDIAN HOUSING
OMB NO. 2577-0226
EXPIRES 4/30/2011**

[illegible]

¹ OBLIGATION AND EXPENDITURE END DATED CAN ONLY BE REVISED WITH HUD APPROVAL PURSUANT TO SECTION 9J OF THE U.S. HOUSING ACT OF 1937, AS AMENDED.

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF PUBLIC AND INDIAN HOUSING
OMB NO. 2577-0226
EXPIRES 4/30/2011

6
1636

Part I: Summary						
PHA Name/Number AL135 Valley Head Housing Authority			Locality Fort Payne/DeKalb County Alabama		X Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year 2 FFY <u>2012</u>	Work Statement for Year 3 FFY <u>2013</u>	Work Statement for Year 4 FFY <u>2014</u>	Work Statement for Year 5 FFY <u>2015</u>
	Physical Improvements Subtotal	Annual Statement				
C.	Management Improvements					
D.	PHA-Wide Non- dwelling Structures and Equipment					
	Administration					
F.	Other					
G.	Operations		13,385	13,385	13,385	13,385
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total					

Part I: Summary (Continuation)						
PHA Name/Number AL135-Valley Head Housing			Locality: Fort Payne/DeKalb County Alabama		X Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year 2 FFY <u>2012</u>	Work Statement for Year 3 FFY <u>2013</u>	Work Statement for Year 4 FFY <u>2014</u>	Work Statement for Year 5 FFY <u>2015</u>
	Valley Head - AL135001	Annual Statement				
	Insurance, Maintenance, etc...		13,385.	13,385.	13,385.	13,385.

Part II: Supporting Pages – Physical Needs Work Statement(s)							
Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year <u>2012</u> FFY <u>AL09P13550112</u>			Work Statement for Year: <u>2013</u> FFY <u>AL09P13550113</u>			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	
	See						
	Annual						
	Statement						
	Valley Head - AL135001			Valley Head - AL135001			
	Operations-Misc, Insurance, Lawn Maintenance, etc...	N/A	13,385.	Operations-Misc, Insurance, Lawn Maintenance, etc...	N/A	13,385.	
	Subtotal of Estimated		\$13,385.	Subtotal of Estimated		\$13,385.	
	Cost			Cost			

[illegible]

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year <u>2012</u> FFY <u>AL09P13550112</u>		Work Statement for Year: <u>2013</u> FFY <u>AL09P13550113</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See				
Annual				
Statement				
	N/A		N/A	
	Subtotal of Estimated Cost	\$	Subtotal of Estimated Cost	\$

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year <u>2014</u> FFY <u>AL09P13550114</u>		Work Statement for Year: <u>2015</u> FFY <u>AL09P13550115</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See				
Annual				
Statement				
	N/A		N/A	
	Subtotal of Estimated Cost	\$	Subtotal of Estimated Cost	\$

GOVERNOR

BOB BULLY
GOVERNOR



State of Alabama

ALABAMA DEPARTMENT OF ECONOMIC
AND COMMUNITY AFFAIRS

DONI M. INGRAM
DIRECTOR

**CERTIFICATION BY STATE OF PHA PLAN'S CONSISTENCY
WITH STATE CONSOLIDATED PLAN**

I, Doni M. Ingram, Director of the Alabama Department of Economic and Community Affairs, certify that the Five Year Plan for Fiscal Years 2010-2014 and the Annual Plan for Fiscal Year 2010 of the Valley Head Housing Authority is consistent with the Consolidated Plan of the State of Alabama prepared pursuant to 24 CFR Part 91.

Certifying Official of State:

Name: Doni M. Ingram

Title: Director
Alabama Department of Economic and Community Affairs

Signature:

Doni M. Ingram

Date:

December 20, 2010